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THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of: Altomare et al.

Confirmation No.: 9091

Serial No.: 09/397,704

Art Unit: 3624

Filed: September 16, 1999

Examiner: Akers, G.

For: SYSTEM AND METHOD FOR  
ADMINISTERING PRINCIPAL  
PROTECTED EQUITY LINKED  
FINANCIAL INSTRUMENTS

Attorney Docket No.: 006925-0196-999

AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**  
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**GROUP 3600**

Sir:

In response to the Final Office Action dated May 23, 2003, please amend the above-identified patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 8 of this paper.